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PATENT
Attorney Docket No.: 20375-022010

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On: _____

By: _____

Sara B. McPeak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lynn Holm Blagg et al.

Application No.: 10/025,092

Filed: December 19, 2001

For: FINANCIAL TRANSACTION
ACCOUNT USAGE
PARAMETER ACCESS AND
CONTROL METHOD

Examiner:

Art Unit: 2161

DECLARATION UNDER 37 C.F.R.
§1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Eugene F. Kathol, state that it was through error without deceptive intent that I was not named as an inventor in the above-referenced patent application.

I agree to the correction of inventorship currently requested by the assignees of the above-referenced patent to include myself, Eugene F. Kathol, as a joint inventor.

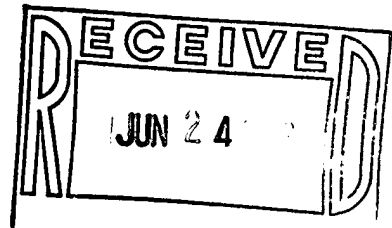
Dated: _____

10/3/2003

By _____

Eugene F. Kathol

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
DMH/sbm
DE 7111920 v1

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: FINANCIAL TRANSACTION ACCOUNT USAGE PARAMETER ACCESS AND CONTROL METHOD the specification of which _____ is attached hereto or X was filed on December 19, 2001 as Application No. 10/025,092 and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date


I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Full Name of Inventor 1:	Last Name: BLAGG	First Name: LYNN	Middle Name or Initial: Holm	
Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 18318 Sunset Lane	City: Omaha	State/Country: Nebraska	Postal Code: 68135
Full Name of Inventor 2:	Last Name: LINDSAY	First Name: CAROL	Middle Name or Initial: Ann	
Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 3877 N. 65th Avenue	City: Omaha	State/Country: Nebraska	Postal Code: 68104

Full Name of Inventor 3:	Last Name: KATHOL	First Name: EUGENE	Middle Name or Initial: F.	
Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 13423 Corby Circle	City: Omaha	State/Country: Nebraska	Postal Code: 68164

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Lynn Holm Blagg Date <u>6/20/03</u>	Signature of Inventor 2 _____ Carol Ann Lindsay Date _____	Signature of Inventor 3 _____ Eugene F. Kathol Date _____
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DE 7106659 v1

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: FINANCIAL TRANSACTION ACCOUNT USAGE PARAMETER ACCESS AND CONTROL METHOD the specification of which ____ is attached hereto or X was filed on December 19, 2001 as Application No. 10/025,092 and was amended on _____ (if applicable).

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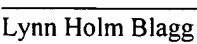
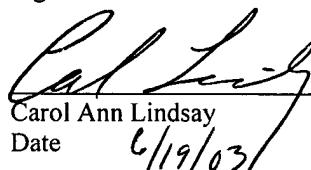
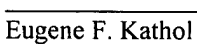
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Post Office Address:	Post Office Address: 3877 N. 65th Avenue	City: Omaha	State/Country: Nebraska	Postal Code: 68104

Full Name of Inventor 3:	Last Name: KATHOL	First Name: EUGENE	Middle Name or Initial: F.	
Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 13423 Corby Circle	City: Omaha	State/Country: Nebraska	Postal Code: 68164

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Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
Lynn Holm Blagg Date	Carol Ann Lindsay Date <i>6/19/03</i>	Eugene F. Kathol Date

DE 7106659 v1

DECLARATION

As a below named inventor, I declare that:

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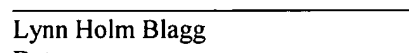
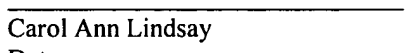
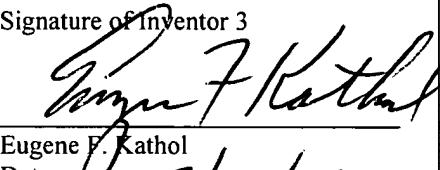
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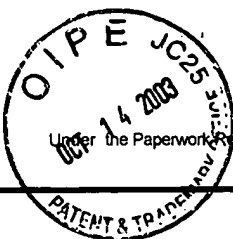
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Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
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Full Name of Inventor 2:	Last Name: LINDSAY	First Name: CAROL	Middle Name or Initial: Ann	
Residence & Citizenship:	City: Omaha	State/Foreign Country: Nebraska	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 3877 N. 65th Avenue	City: Omaha	State/Country: Nebraska	Postal Code: 68104

Full Name of Inventor 3:	Last Name: KATHOL	First Name: EUGENE	Middle Name or Initial: F.	
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Post Office Address:	Post Office Address: 13423 Corby Circle	City: Omaha	State/Country: Nebraska	Postal Code: 68164

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Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
		
Lynn Holm Blagg Date	Carol Ann Lindsay Date	Eugene F. Kathol Date <i>7/10/03</i>

DE 7106659 v1



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lynn Blagg, et al.

Application No./Patent No.: 10/025,092

Filed/Issue Date: December 19, 2001

Entitled: Financial Transaction Account Usage Parameter Access and Control Method

First Data Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7/17/03
Date

[Signature]
Signature

Ken Algiene
Typed or printed name

Asst. Secretary
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.